

| COMMON UPLOAD_FILE_FORMAT FOR MEMBER |                           |                 |           |                                                                                                                       |
|--------------------------------------|---------------------------|-----------------|-----------|-----------------------------------------------------------------------------------------------------------------------|
| Field Name                           | Mandatory / non-Mandatory | Alpha / Numeric | Character | Remark                                                                                                                |
| Symbol                               | M                         | Alphanumeric    | 10        | Symbol of the Company/IPO                                                                                             |
| Application No.                      | M                         | Alphanumeric    | 16        | Application number of the Form                                                                                        |
| Category                             | M                         | Character       | 5         | Client Status master values would remain constant over all the scrips i.e. FI,FII,IC,MF, OTH, NOH, CO, IND, EMP, SHA. |
| Applicant Name                       | NM                        | Alphanumeric    | 50        | Client name as on the form                                                                                            |
| Depository Name                      | M                         | Alphanumeric    | 4         | Depository i.e. NSDL or CDSL                                                                                          |
| DpID                                 | M                         | Alphanumeric    | 8         | If CDSL then 0 has to be inserted into the column. If NSDL Min(8) and Max(8)                                          |
| Client ID / Beneficiary ID           | M                         | N               | 16        | If CDSL then Min(16) and Max(16) . If NSDL Min(8) and Max(8)                                                          |
| Quantity                             | M                         | N               | 11        | Quantity in multiple of market lot                                                                                    |
| Cut off flag                         | M                         | Alphanumeric    | 1         | Cutoff<br>1 - if opting for cut off<br>0 - if not opting for cut off                                                  |
| Rate / Price                         | M                         | N               | 6.2       | PRICE, in case of cut off put MKT or max price                                                                        |
| UPI Flag                             | M                         | Character       | 1         | Y if Opting for UPI; (for Retail/SHA category investor with bid value upto Rs 2 Lacs)<br>N-if not Opting for UPI      |
| Amount                               | M                         | N               | 12        | Amount to be blocked                                                                                                  |
| Reference/Cheque Number              | NM                        | Alphanumeric    | 9         | Reference/Cheque Number,                                                                                              |
| Pan No                               | M                         | Alphanumeric    | 10        | Pan No of the Client                                                                                                  |
| Bank Code                            | M                         | Alphanumeric    | 6         | In case of ASBA bid put valid <b>Bank code</b> .<br><br>In case of UPI bid put <b>blank</b>                           |

|               |           |                                                                                                                     |           |                                                                                                                |
|---------------|-----------|---------------------------------------------------------------------------------------------------------------------|-----------|----------------------------------------------------------------------------------------------------------------|
| Location Code | M         | Alphanumeric                                                                                                        | 6         | In case of ASBA bid put valid <b>Location code</b> .<br><br>In case of UPI bid put <b>blank</b>                |
| <b>UPI ID</b> | <b>NM</b> | Alphanumeric<br>a-z, A-Z, 0-9.<br>With special<br>character<br>. (dot)<br>- (hyphen)<br>@ ( sign of at the<br>rate) | <b>45</b> | Mandatory if the UPI Flag is <b>Y</b> opted. Enter exact UPI id<br>Put blank in case of Non<br>UPI Bid.        |
| Order Number  | M         | N                                                                                                                   | 16        | BID ID<br>Put 0 or blank for action<br>code N ( will be replaced by<br>order number in out file)               |
| Activity Type | M         | Alphanumeric                                                                                                        | 1         | Activity Type, i.e., “N” for<br>new record, “M” for to be<br>modified record and “D”<br>for to delete records. |

**COMMON UPLOAD\_FILE\_FORMAT FOR MEMBER (Success File)**

| <b>Order Entry, Modification and Cancellation</b> |                           |                 |           |                                                                                                                                                                                                                           |
|---------------------------------------------------|---------------------------|-----------------|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Field Name                                        | Mandatory / non-Mandatory | Alpha / Numeric | Character | Remark                                                                                                                                                                                                                    |
| Symbol                                            | M                         | Alphanumeric    | 10        | Symbol of the Company/IPO                                                                                                                                                                                                 |
| Application No.                                   | M                         | Alphanumeric    | 16        | Application number of the Form                                                                                                                                                                                            |
| Category                                          | M                         | Alphanumeric    | 5         | Client Status master values would vary over issue to issue. Client Ids activated would be numerical i.e. 11,21,31,41 wherein they would denote FII, CO, IND, HNI etc. Values would be forwarded before start of the issue |
| Applicant Name                                    | NM                        | Alphanumeric    | 50        | Client name as on the form                                                                                                                                                                                                |
| Depository Name                                   | M                         | Alphanumeric    | 4         | Depository i.e. NSDL or CDSL                                                                                                                                                                                              |
| DpID                                              | M                         | Alphanumeric    | 8         | If CDSL then 0 has to be inserted into the column.<br>If NSDL Min(8) and Max(8)                                                                                                                                           |
| Client ID / Beneficiary ID                        | M                         | N               | 16        | If CDSL then Min(16) and Max(16)<br>If NSDL Min(8) and Max(8)                                                                                                                                                             |
| Quantity                                          | M                         | N               | 11        | Quantity should always be in multiple of market lot                                                                                                                                                                       |
| Cut off flag                                      | M                         | Alphanumeric    | 1         | To indicate cut off price choice<br>1 means Cut off<br>0 means not opting for cut off                                                                                                                                     |
| Rate / Price                                      | M                         | N               | 6.2       | PRICE<br>in case of cut off - MKT                                                                                                                                                                                         |
| UPI Flag                                          | M                         | Character       | 1         | Y if Opting for UPI; (for Retail/SHA category investor with bid value upto Rs 2 Lacs)<br>N-if not Opting for UPI                                                                                                          |
| Amount                                            | M                         | N               | 12        | Amount to be blocked                                                                                                                                                                                                      |
| Reference / Cheque Number                         | NM                        | Alphanumeric    | 9         | Reference/Cheque Number                                                                                                                                                                                                   |
| Pan No                                            | M                         | Alphanumeric    | 10        | Pan No of the Client                                                                                                                                                                                                      |

|               |    |                                                                                                                     |    |                                                                                                                |
|---------------|----|---------------------------------------------------------------------------------------------------------------------|----|----------------------------------------------------------------------------------------------------------------|
| Bank Code     | M  | Alphanumeric                                                                                                        | 6  | In case of ASBA bid put valid <b>Bank code</b> .<br>In case of UPI bid put <b>blank</b>                        |
| Location Code | M  | Alphanumeric                                                                                                        | 6  | In case of ASBA bid put valid <b>Location code</b> .<br>In case of UPI bid put <b>blank</b>                    |
| UPI ID        | NM | Alphanumeric<br>a-z, A-Z, 0-9.<br>With special<br>character<br>. (dot)<br>- (hyphen)<br>@ ( sign of at<br>the rate) | 45 | Mandatory if the UPI Flag is <b>Y</b><br>opted. Enter exact UPI id<br>Put blank in case of Non UPI Bid         |
| Order Number  | M  | N                                                                                                                   | 16 | Bid Id is auto generated by the<br>exchange                                                                    |
| Activity Type | M  | Alphanumeric                                                                                                        | 1  | Activity Type, i.e., “N” for new<br>record, “M” for to be modified<br>record and “D” for to delete<br>records. |

**COMMON UPLOAD\_FILE\_FORMAT FOR MEMBER (Error File)**

**Order Entry, Modification and Cancellation**

| Field Name                 | Mandatory / non-Mandatory | Alpha / Numeric | Character | Remark                                                                                                                                                                                                                    |
|----------------------------|---------------------------|-----------------|-----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Symbol                     | M                         | Alphanumeric    | 10        | Symbol of the Company/IPO                                                                                                                                                                                                 |
| Application No.            | M                         | Alphanumeric    | 16        | Application number of the Form                                                                                                                                                                                            |
| Category                   | M                         | Alphanumeric    | 5         | Client Status master values would vary over issue to issue. Client Ids activated would be numerical i.e. 11,21,31,41 wherein they would denote FII, CO, IND, HNI etc. Values would be forwarded before start of the issue |
| Applicant Name             | NM                        | Alphanumeric    | 50        | Client name as on the form                                                                                                                                                                                                |
| Depository Name            | M                         | Alphanumeric    | 4         | Depository i.e. NSDL or CDSL                                                                                                                                                                                              |
| DpID                       | M                         | Alphanumeric    | 8         | If CDSL then 0 has to be inserted into the column. If NSDL Min(8) and Max(8)                                                                                                                                              |
| Client ID / Beneficiary ID | M                         | N               | 16        | If CDSL then Min(16) and Max(16) . If NSDL Min(8) and Max(8)                                                                                                                                                              |
| Quantity                   | M                         | N               | 11        | Quantity should always be in multiple of market lot                                                                                                                                                                       |
| Cut off flag               | M                         | Alphanumeric    | 1         | To indicate cut off price choice<br>1 means Cut off<br>0 means not opting for cut off                                                                                                                                     |
| Rate / Price               | M                         | N               | 6.2       | PRICE<br>in case of cut off - MKT                                                                                                                                                                                         |
| UPI Flag                   | M                         | Character       | 1         | Y if Opting for UPI; (for Retail/SHA category investor with bid value upto Rs 2 Lacs)<br>N-if not Opting for UPI                                                                                                          |
| Amount                     | M                         | N               | 12        | Amount to be blocked                                                                                                                                                                                                      |
| Reference/Cheque Number    | NM                        | Alphanumeric    | 9         | Reference/Cheque Number,                                                                                                                                                                                                  |
| Pan No                     | M                         | Alphanumeric    | 10        | Pan No of the Client                                                                                                                                                                                                      |

|               |    |                                                                                                                     |    |                                                                                                                |
|---------------|----|---------------------------------------------------------------------------------------------------------------------|----|----------------------------------------------------------------------------------------------------------------|
| Bank Code     | M  | Alphanumeric                                                                                                        | 6  | In case of ASBA bid put valid <b>Bank code</b> .<br><br>In case of UPI bid put <b>blank</b>                    |
| Location Code | M  | Alphanumeric                                                                                                        | 6  | In case of ASBA bid put valid <b>Location code</b> .<br><br>In case of UPI bid put <b>blank</b>                |
| UPI ID        | NM | Alphanumeric<br>a-z, A-Z, 0-9.<br>With special<br>character<br>. (dot)<br>- (hyphen)<br>@ ( sign of at the<br>rate) | 45 | Mandatory if the UPI Flag<br>is <b>Y</b> opted. Enter exact UPI<br>id<br>Put blank in case of Non<br>UPI Bid   |
| Order Number  | M  | N                                                                                                                   | 16 | Bid Id is auto generated by<br>the exchange                                                                    |
| Activity Type | M  | Alphanumeric                                                                                                        | 1  | Activity Type, i.e., “N” for<br>new record, “M” for to be<br>modified record and “D”<br>for to delete records. |
| Error Message | M  | Alphanumeric                                                                                                        | 40 | Gives detailed description<br>of the error encountered<br>during upload                                        |